

Sorting

Sorting Algorithms

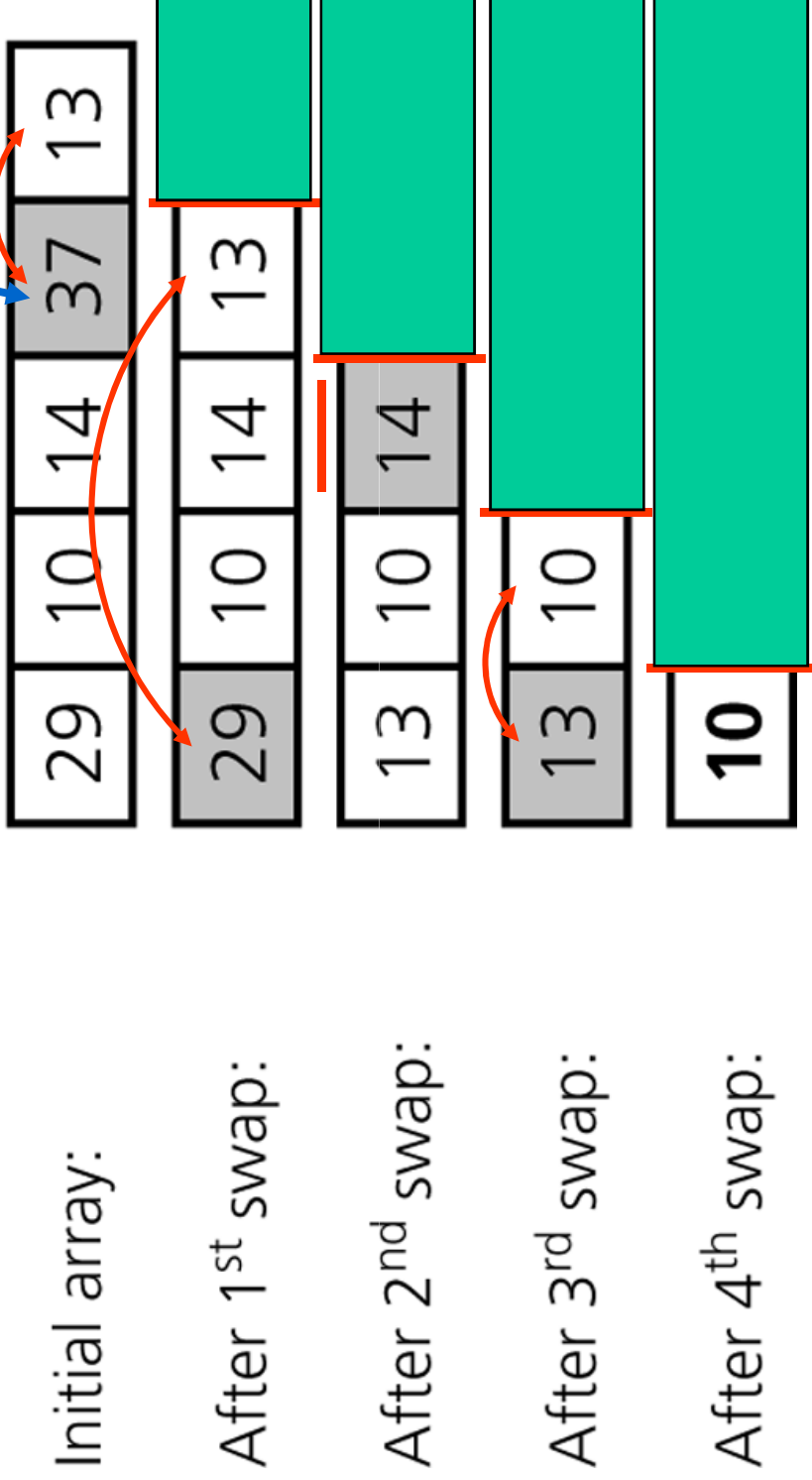
- Between $O(n^2)$ and $O(n \log n)$
- For special input, $O(n)$ sorting is possible
 - E.g., input – integer between $-O(n)$ and $O(n)$

Selection Sort

- For each loop
 - Find max
 - Swap max and rightmost element
 - Exclude rightmost element
- Loop until one element left

Finding the Recursive Structure

The largest item



✓ Running time: $(n-1) + (n-2) + \dots + 2 + 1 = O(n^2)$

↙ Worst case
↘ Average case

```

selectionSort(A[], n)  ▷ Sort array A[1 ... n]
{
    for last ← n downto 2 {
        Find max A[k] among A[1 ... last];
        A[k] ↔ A[last]; ▷ Swap A[k] and A[last]
    }
}

```

----- ①
 ----- ②
 ----- ③

- ✓ Running time:
 - **for** loop in ① : $n-1$ times
 - # of comparisons to find max in ② : $n-1, n-2, \dots, 2, 1$
 - swap in ③ : constant time

✓ $(n-1)+(n-2)+\dots+2+1 = O(n^2)$

Selection Sort

Example

Input Array

8	31	48	73	3	65	20	29	11	15
---	----	----	----	---	----	----	----	----	----

Find max (73)

8	31	48	73	3	65	20	29	11	15
---	----	----	----	---	----	----	----	----	----

Swap 73 and the rightmost number (15)

8	31	48	15	3	65	20	29	11	73
---	----	----	----	---	----	----	----	----	----

① First loop

Find max except the rightmost number. (65)

8	31	48	15	3	65	20	29	11	73
---	----	----	----	---	----	----	----	----	----

Swap 65 with the rightmost number (11)

8	31	48	15	3	11	20	29	65	73
---	----	----	----	---	----	----	----	----	----

① Second loop

Find max except the two rightmost numbers (48)

8	31	48	15	3	11	20	29	65	73
---	----	----	----	---	----	----	----	----	----

...

Swap 8 with the rightmost number (3)

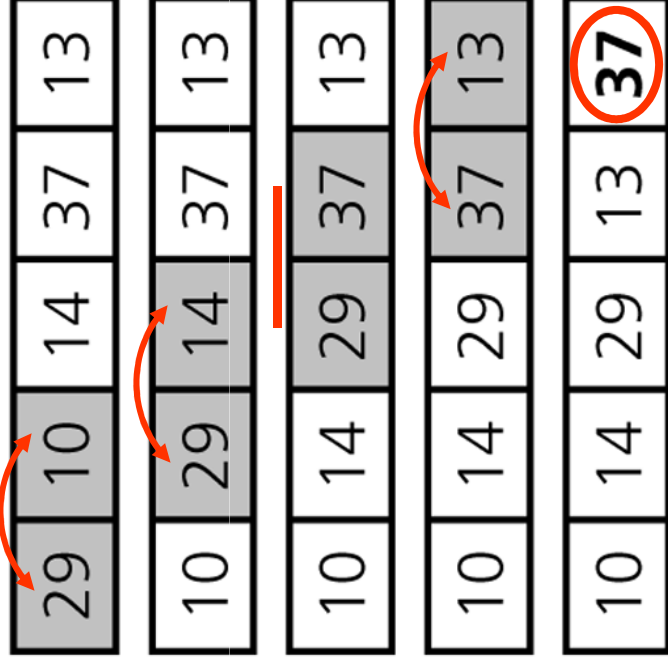
8	3	11	15	20	29	31	48	65	73
---	---	----	----	----	----	----	----	----	----

Final Array

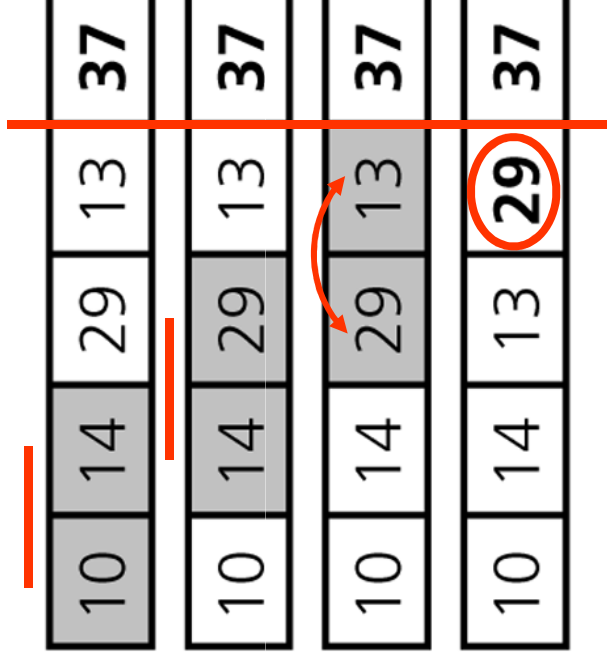
3	8	11	15	20	29	31	48	65	73
---	---	----	----	----	----	----	----	----	----

Bubble Sort

(a) Pass 1



(b) Pass 2



- ✓ Running time: $(n-1) + (n-2) + \dots + 2 + 1 = O(n^2)$
 - Worst case
 - Average case

```

bubbleSort(A[], n) ▷ Sort A[1 ... n]
{
    for last ← n downto 2 ----- ①
        for i ← 1 to last-1 ----- ②
            if (A[i] > A[i+1]) then A[i] ↔ A[i+1]; ▷
                Swap-- ③
}

```

✓ Running time:

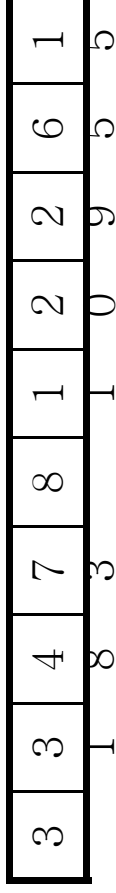
- ① : **for** loop – $n-1$ times
- ② : **for** loop – $n-1, n-2, \dots, 2, 1$ times
- ③ : constant time

$$✓ (n-1)+(n-2)+\dots+2+1 = O(n^2)$$

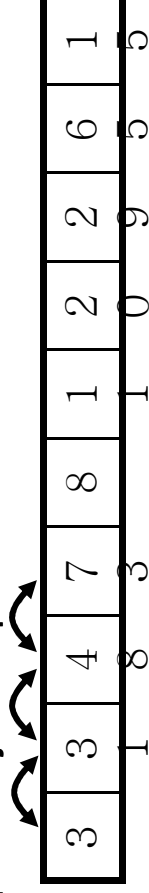
Bubble Sort

Example

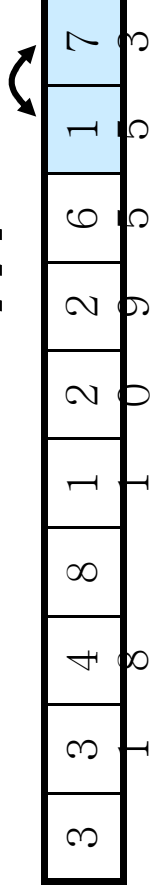
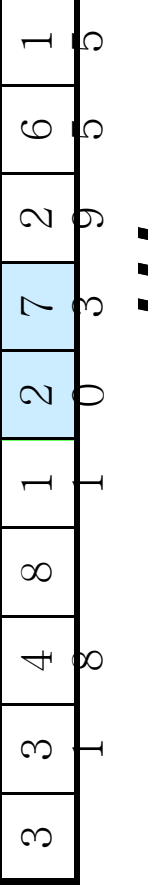
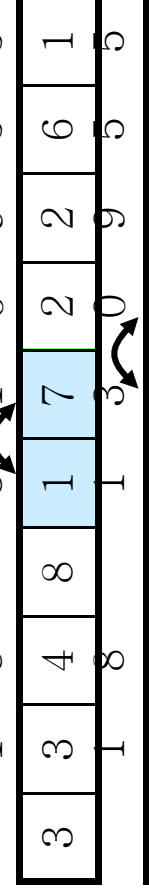
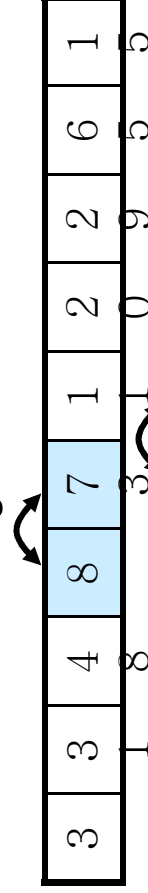
Input array



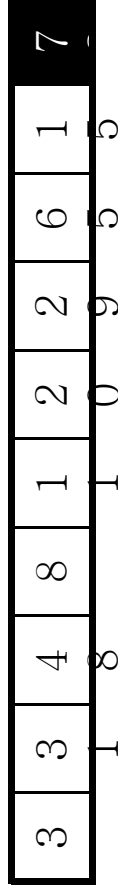
Compare adjacent pairs from the left



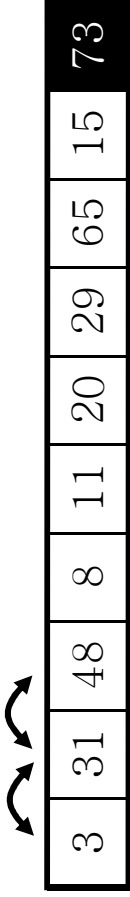
Swap if the order is not right



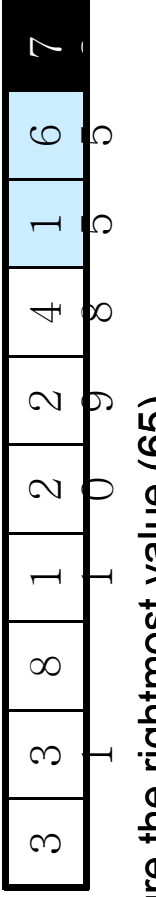
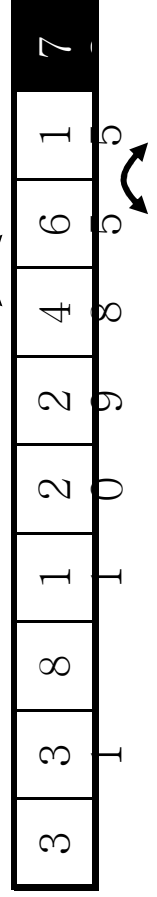
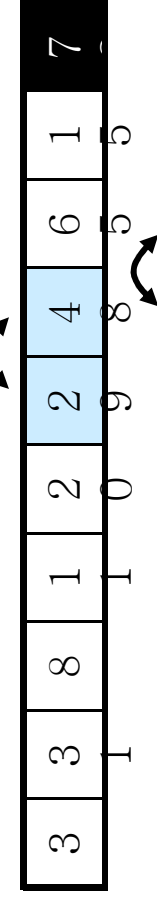
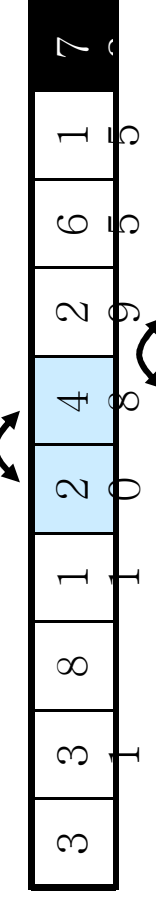
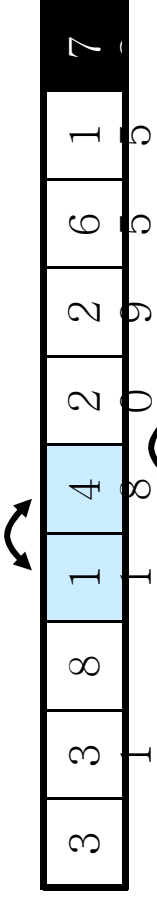
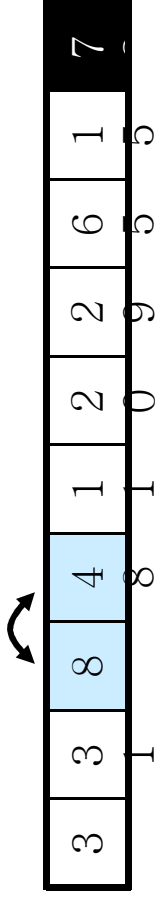
Exclude the rightmost number (73)



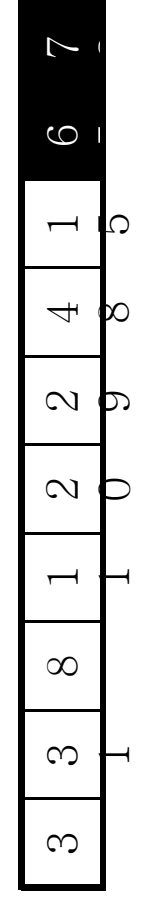
Starting from the left, compare adjacent pairs



Swap if the pair is not orderly



Exclude the rightmost value (65)



Keep excluding the values by repeating the loop

...

3	8	1	1	2	2	3	4	6	7
---	---	---	---	---	---	---	---	---	---

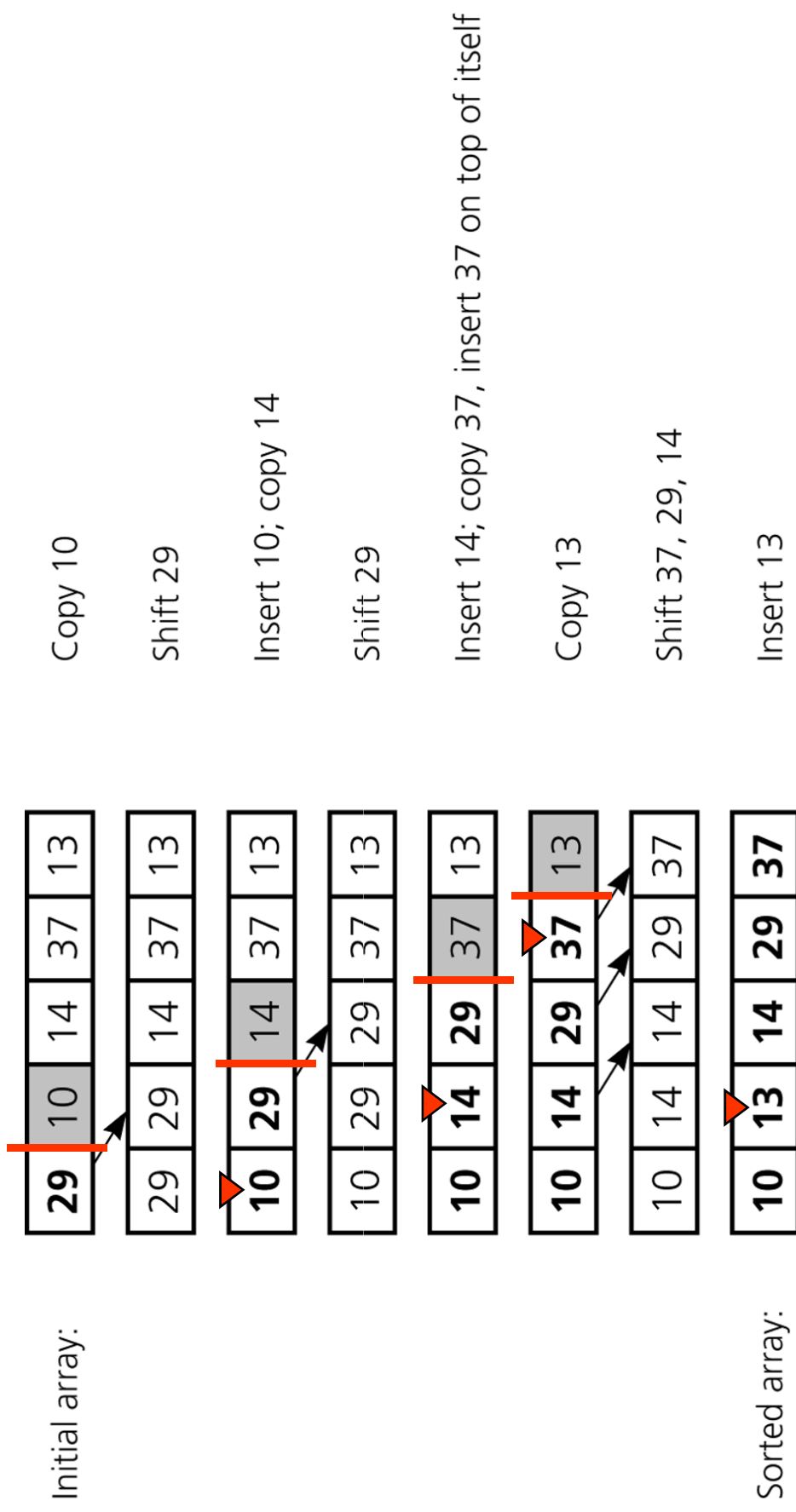
Process the final two elements



3	8	1	1	2	2	3	4	6	7
---	---	---	---	---	---	---	---	---	---

3	8	1	1	2	2	3	4	6	7
1	5	0	9	1	8	5	3		

Insertion Sort



✓ Running time: $O(n^2)$

Worst case: $1 + 2 + \dots + (n-2) + (n-1)$

Average case: $\frac{1}{2} (1 + 2 + \dots + (n-2) + (n-1))$

```

insertionSort(A[], n)  ▷ Sort A[1 ... n]
{
    for i ← 2 to n ----- ①
        Insert A[i] into the right position in A[1 ... i]; ----- ②
}

```

- ✓ Running time:
 - ① : **for** loop – n-1 times
 - ② : i-1 times comparison in the worst case
- ✓ Worst case: $1+2+\dots+(n-2)+(n-1) = O(n^2)$
- ✓ Average case: $\frac{1}{2} (1+2+\dots+(n-2)+(n-1)) = O(n^2)$

Inductive Verification of Insertion Sort

- $A[1]$
 - Sorted
- If $A[1 \dots k]$ sorted
 - ➔ By the insertion in ②, $A[1 \dots k+1]$ are sorted

Mergesort

```
mergeSort(A[ l, p, r)
▷ Sort A[p ... r]
{
  if (p < r) then {
    q ← (p+q)/2; ----- ① ▷ Midpoint of p, q
    mergeSort(A, p, q); ----- ② ▷ Sort the left
    mergeSort(A, q+1, r); ----- ③ ▷ Sort the right
    merge(A, p, q, r); ----- ④ ▷ Merge
  }
}

merge(A[ l, p, q, r)
{
  Two sorted array A[p ... q] and A[q+1 ... r]
  Merge them into one array A[p ... r]
}
```

Mergesort Example

Input array given

31	3	65	73	8	11	20	29	48	15
----	---	----	----	---	----	----	----	----	----

Partition the array

31	3	65	73	8	11	20	29	48	15
----	---	----	----	---	----	----	----	----	----

— (1)

Sort them independently

3	8	31	65	73	11	15	20	29	48
---	---	----	----	----	----	----	----	----	----

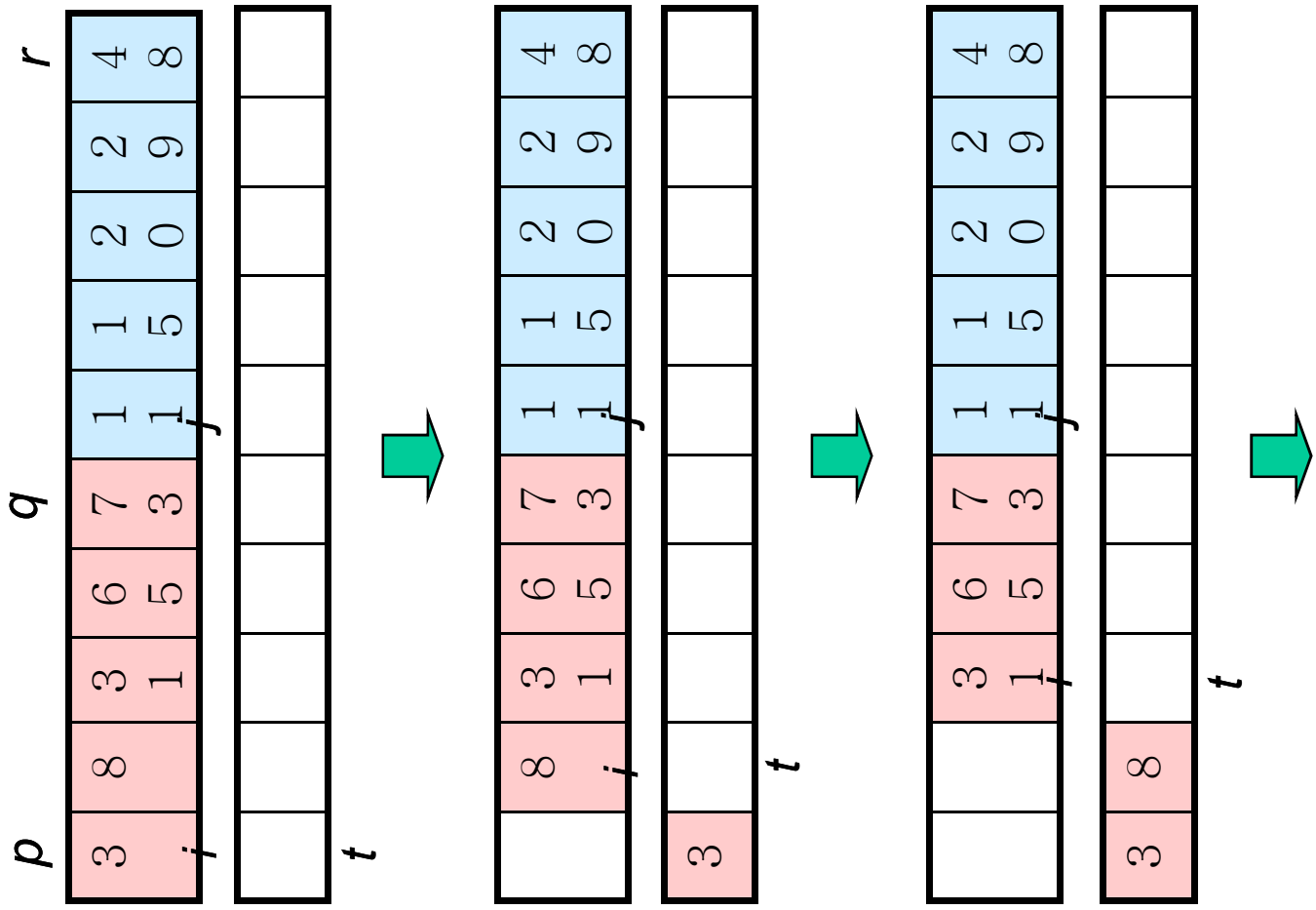
— (2) (3)

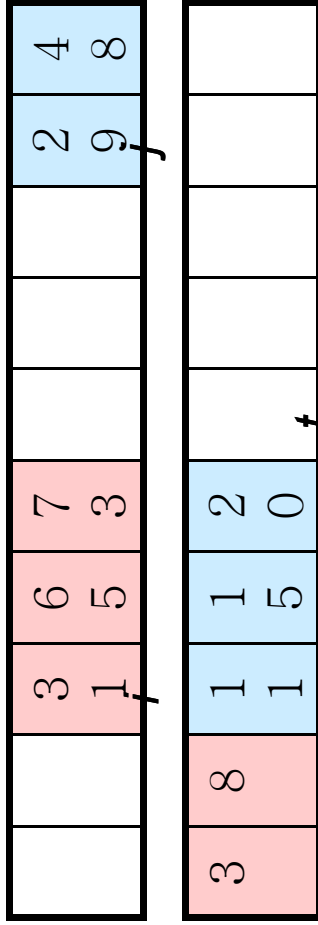
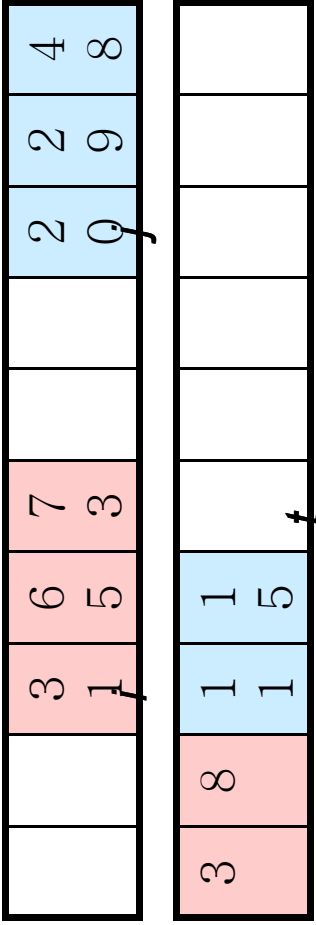
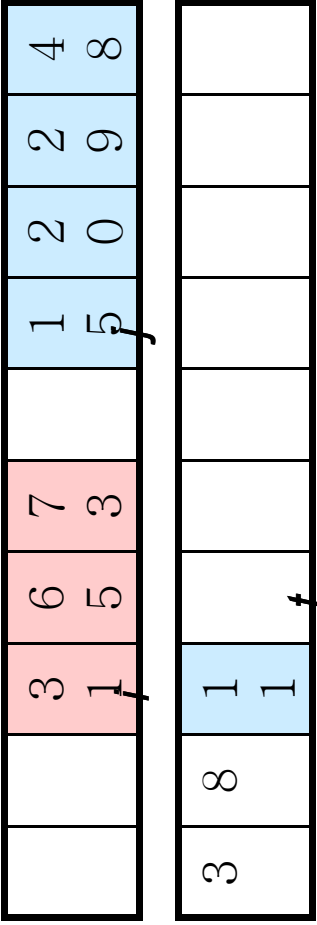
Merge

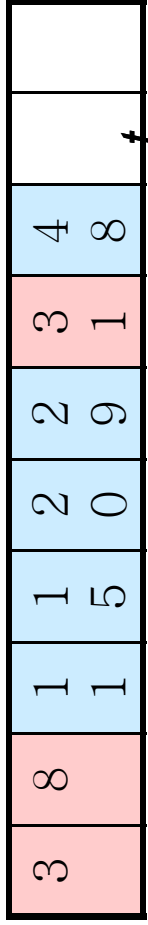
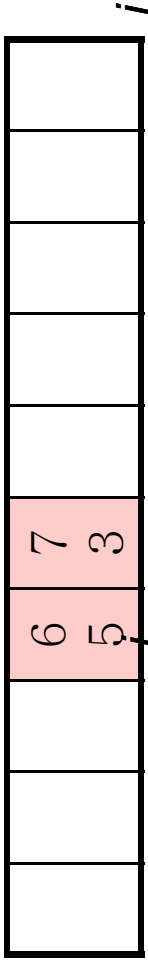
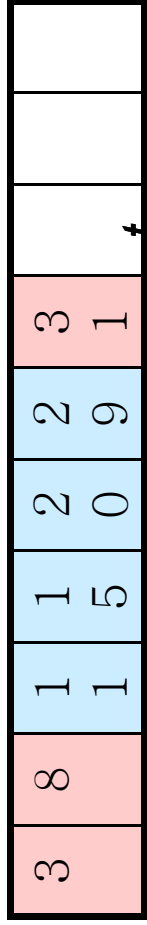
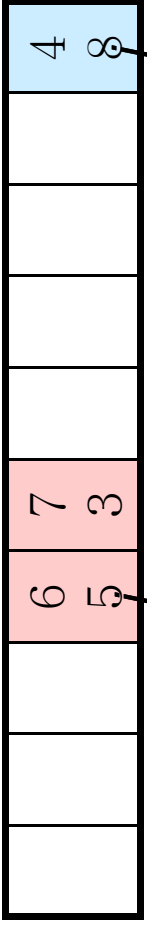
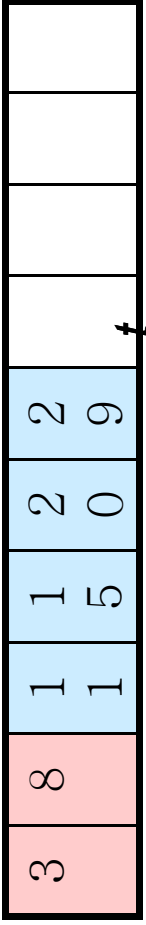
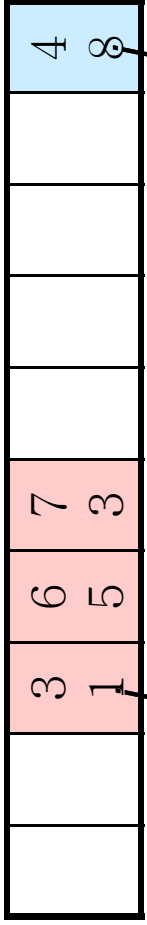
3	8	11	15	20	29	31	48	65	73
---	---	----	----	----	----	----	----	----	----

— (4)

Merge Example







--	--	--	--	--	--	--	--	--	--	--	--	--

i *j*

3	8	1	1	1	5	2	0	2	9	3	1	4	8	6	5	7	3

t

Animation (Mergesort)

1 2 3 4 6 7 8 9

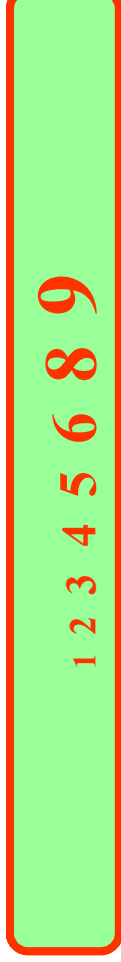
✓ Running time: $O(n \log n)$

Quicksort

```
quicksort(A[], p, r) ▷ Sort A[p ... r]
{
    if (p < r) then {
        q = partition(A, p, r); ▷ Partition
        quicksort(A, p, q-1); ▷ Sort the left
        quicksort(A, q+1, r); ▷ Sort the right
    }
}

partition(A[], p, r)
{
    Arrange the elements in A[p ... r] according to A[r]
    Return the position of A[r];
}
```

Animation (Quicksort)



- ✓ Average running time: $O(n \log n)$
- ✓ Worst running time: $O(n^2)$

Quicksort Example

In the input array, set the first value as a pivot

31	8	48	73	11	3	20	29	65	15
----	---	----	----	----	---	----	----	----	----

Partition the input array so that smaller elements on the left, and bigger elements on the right

8	11	3	15	31	48	20	29	65	73
---	----	---	----	----	----	----	----	----	----

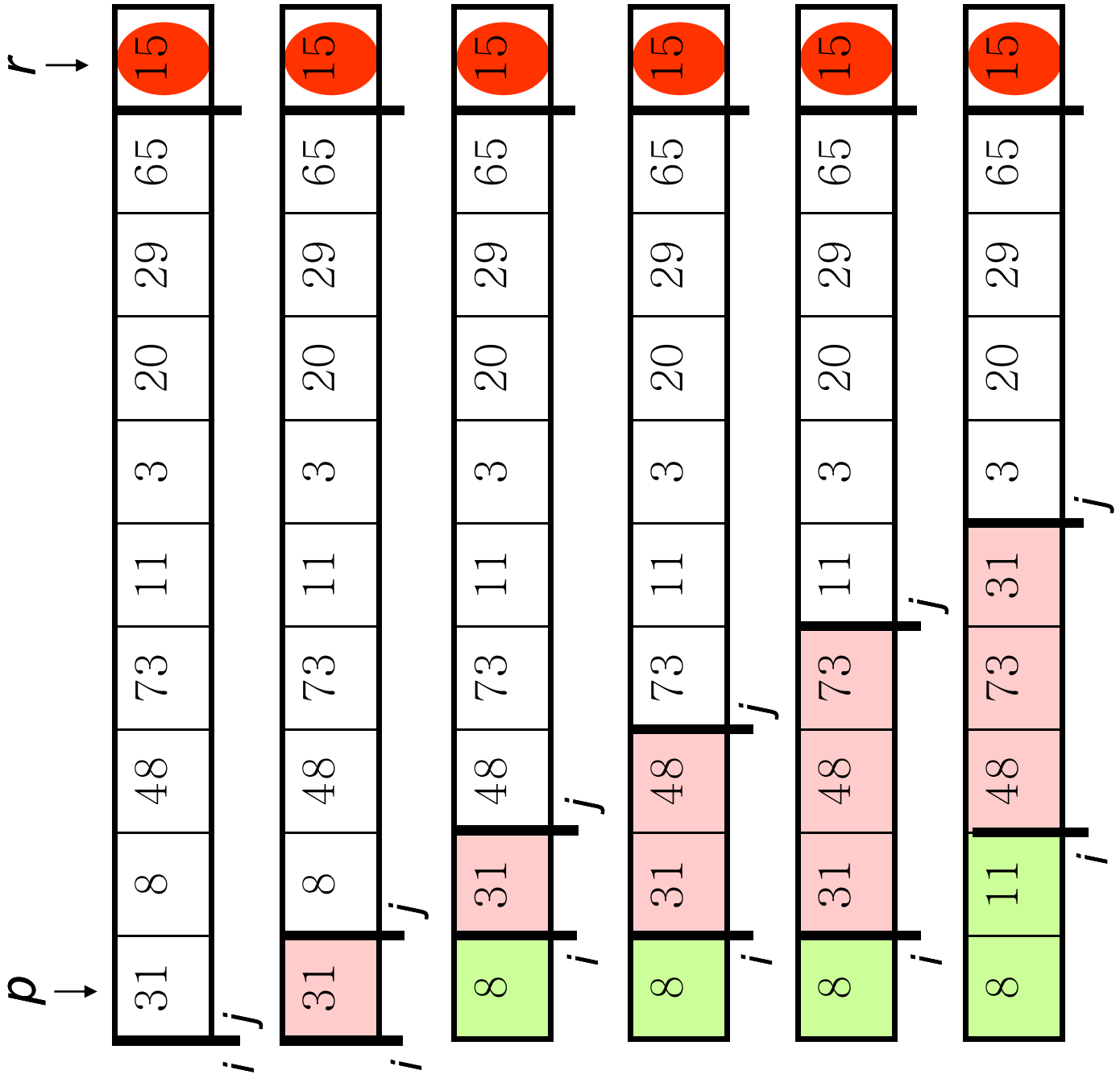
— (a)

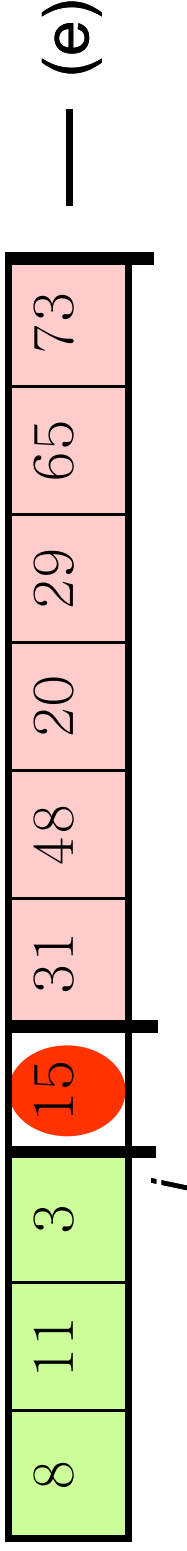
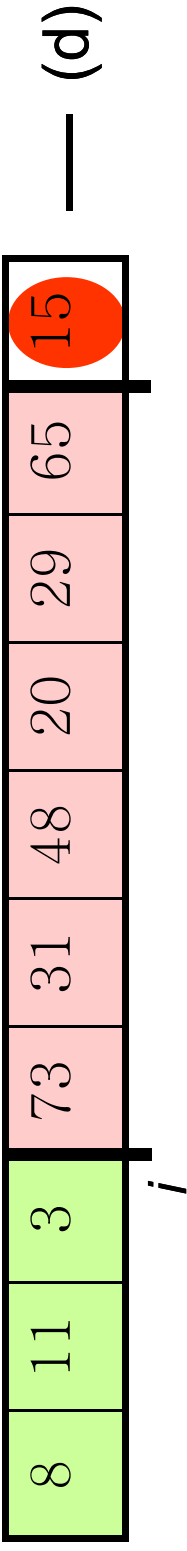
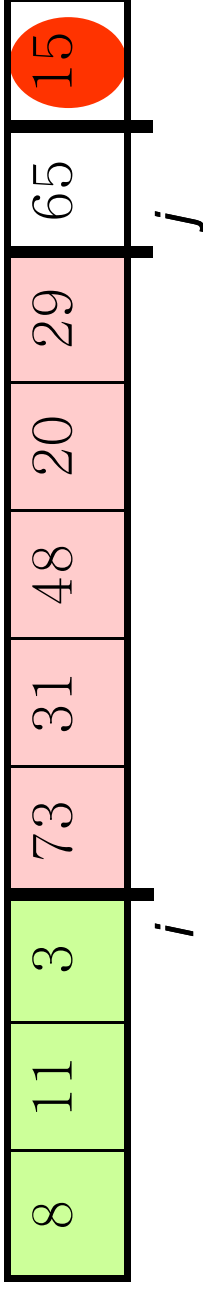
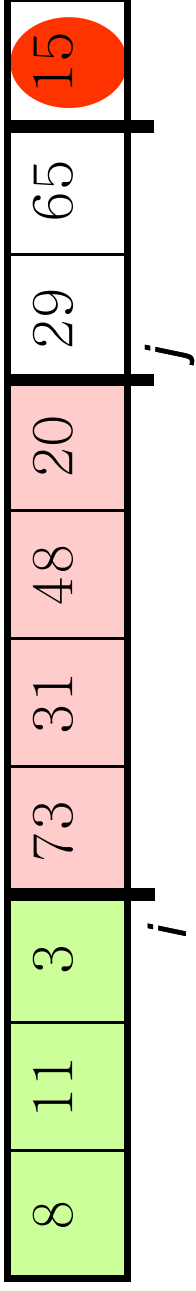
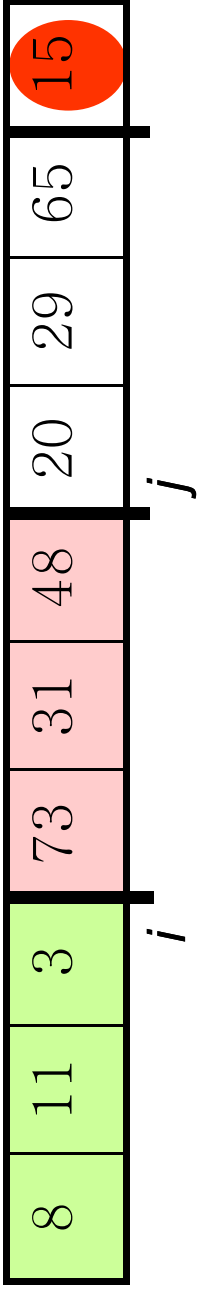
Sort the left and the right independently

3	8	11	15	20	29	31	48	65	73
---	---	----	----	----	----	----	----	----	----

— (b)

Partition Example





Heapsort

- Heap
 - Complete binary tree that satisfies the following
 - Each node's value is not bigger than its children
- Heapsort
 - Convert the input array to a Heap, and remove top element from the Heap one by one

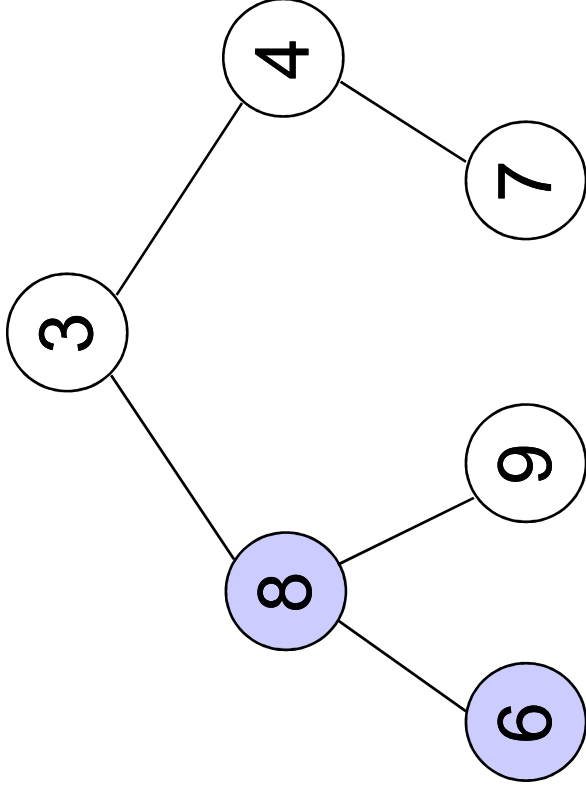
```

heapSort(A[1], n)
{
    buildHeap(A, n);           ▷ Make Heap
    for  $i \leftarrow n$  downto 2 {
        A[1]  $\leftrightarrow$  A[ $i$ ];     ▷ Swap
        heapify(A, 1,  $i-1$ );
    }
}

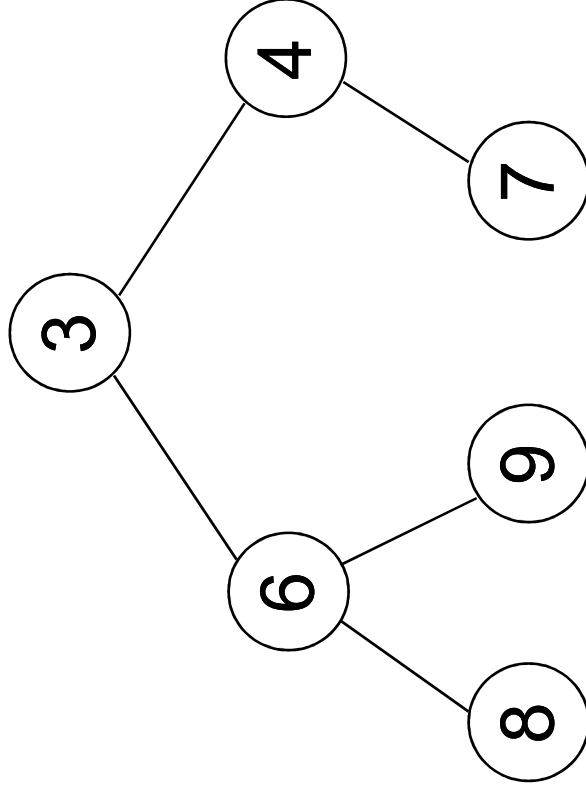
```

✓ $O(n \log n)$ time in the worst case

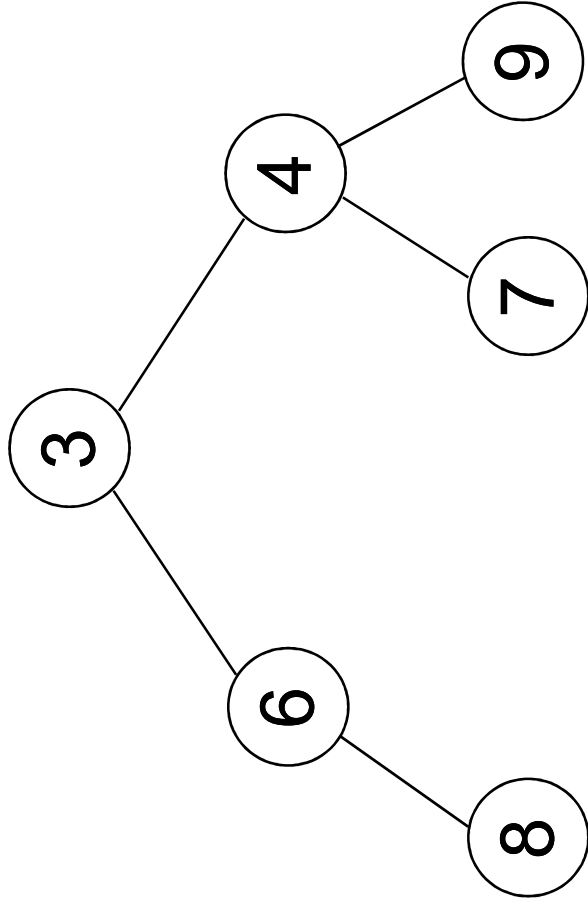
Heap



Not a Heap

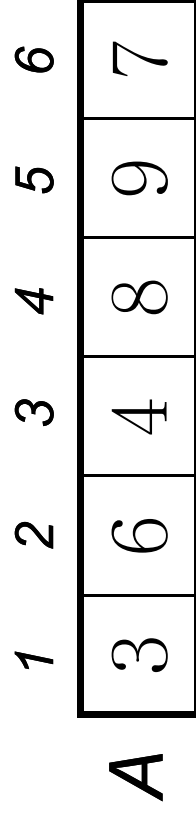
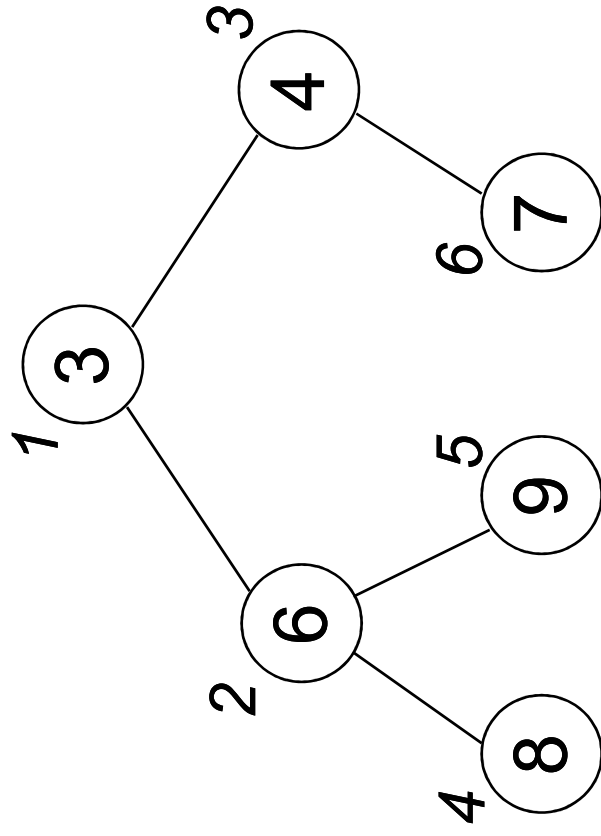


Heap

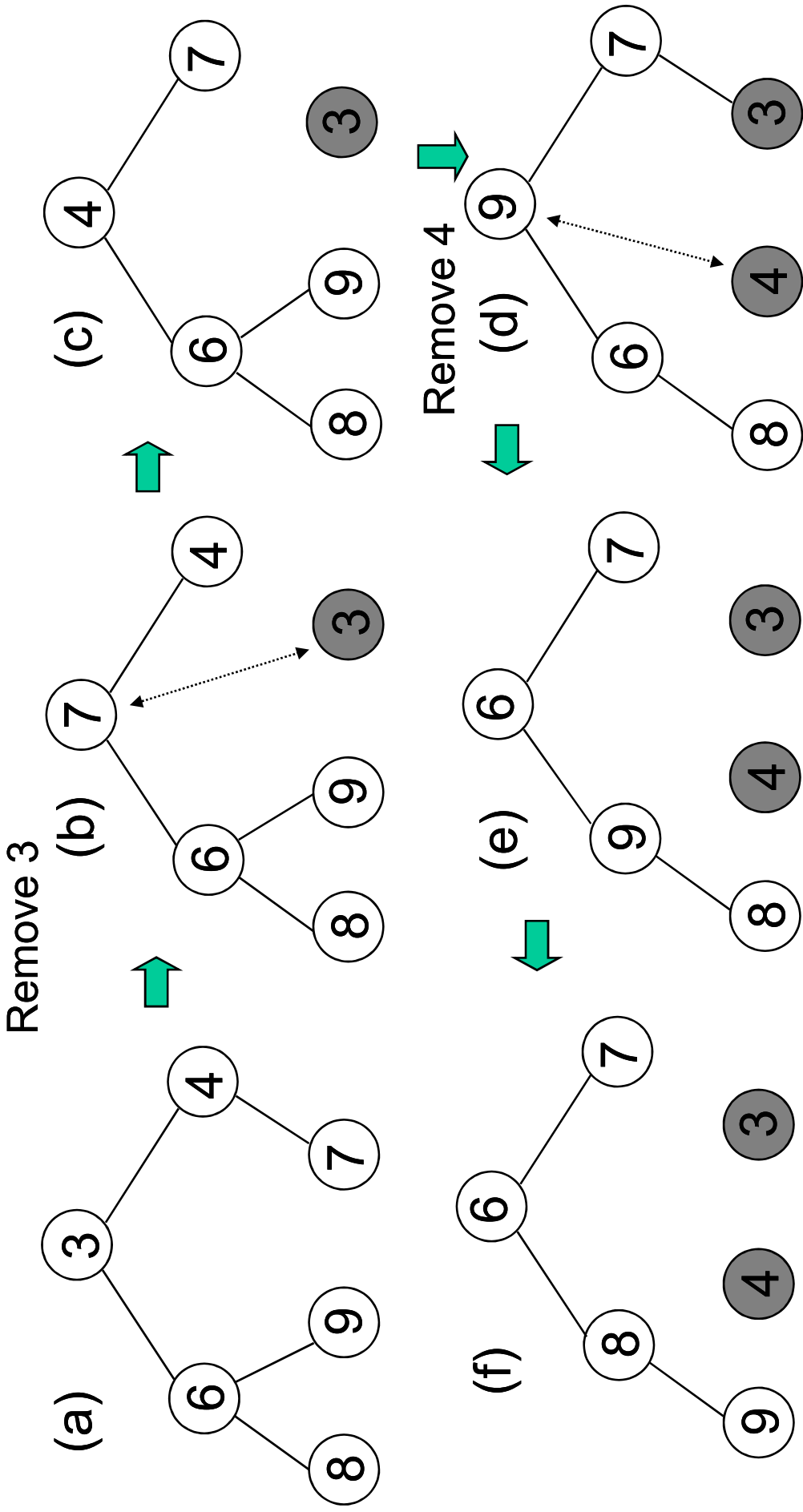


Not a Heap

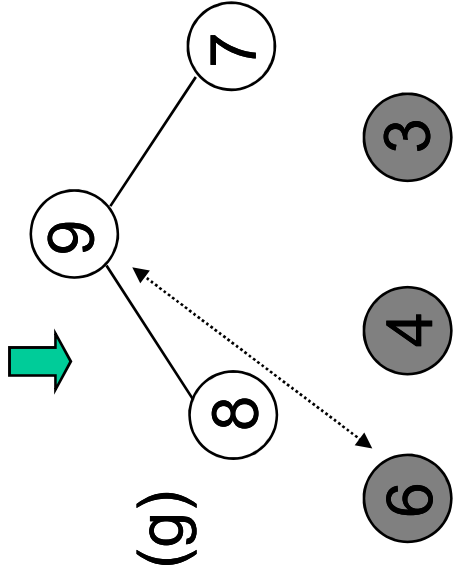
Heap can be represented in an array



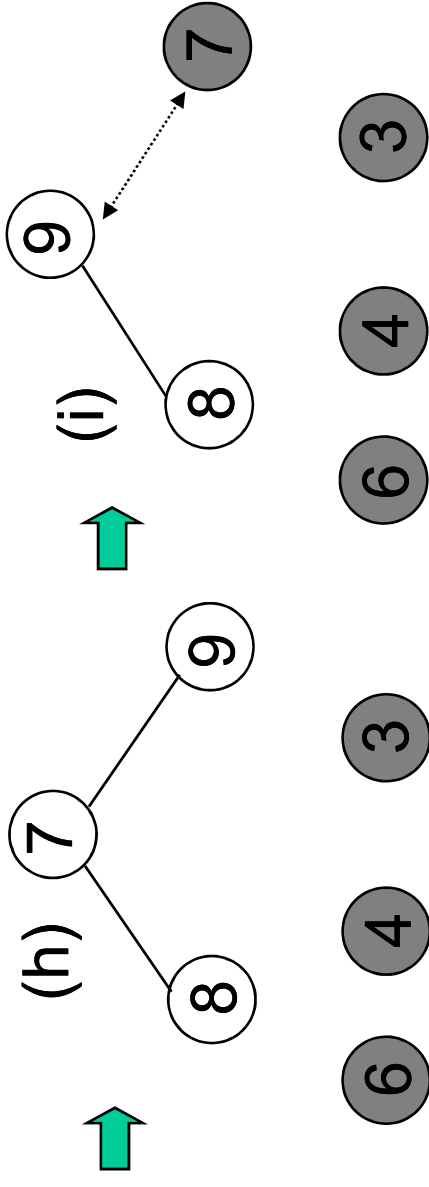
Heapsort Example



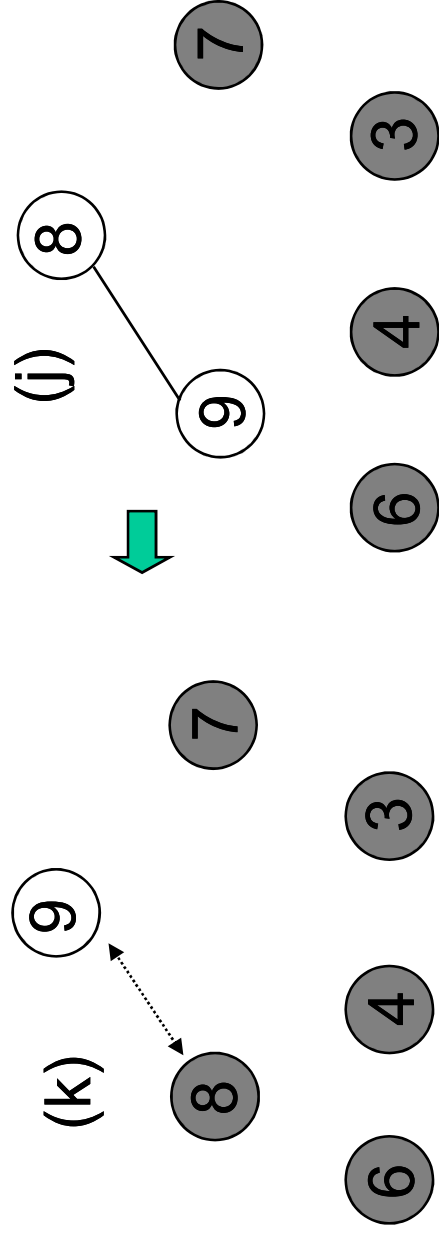
Remove 6



Remove 7



Remove 8



$O(n)$ Sort

- Lower bound of sorting algorithms is $\Omega(n \log n)$
- If the elements satisfy a certain condition, $O(n)$
 - Counting Sort
 - Elements magnitude is between $-O(n) \sim O(n)$
 - Radix Sort
 - Elements has the number of digits less than k (k is constant)

Counting Sort

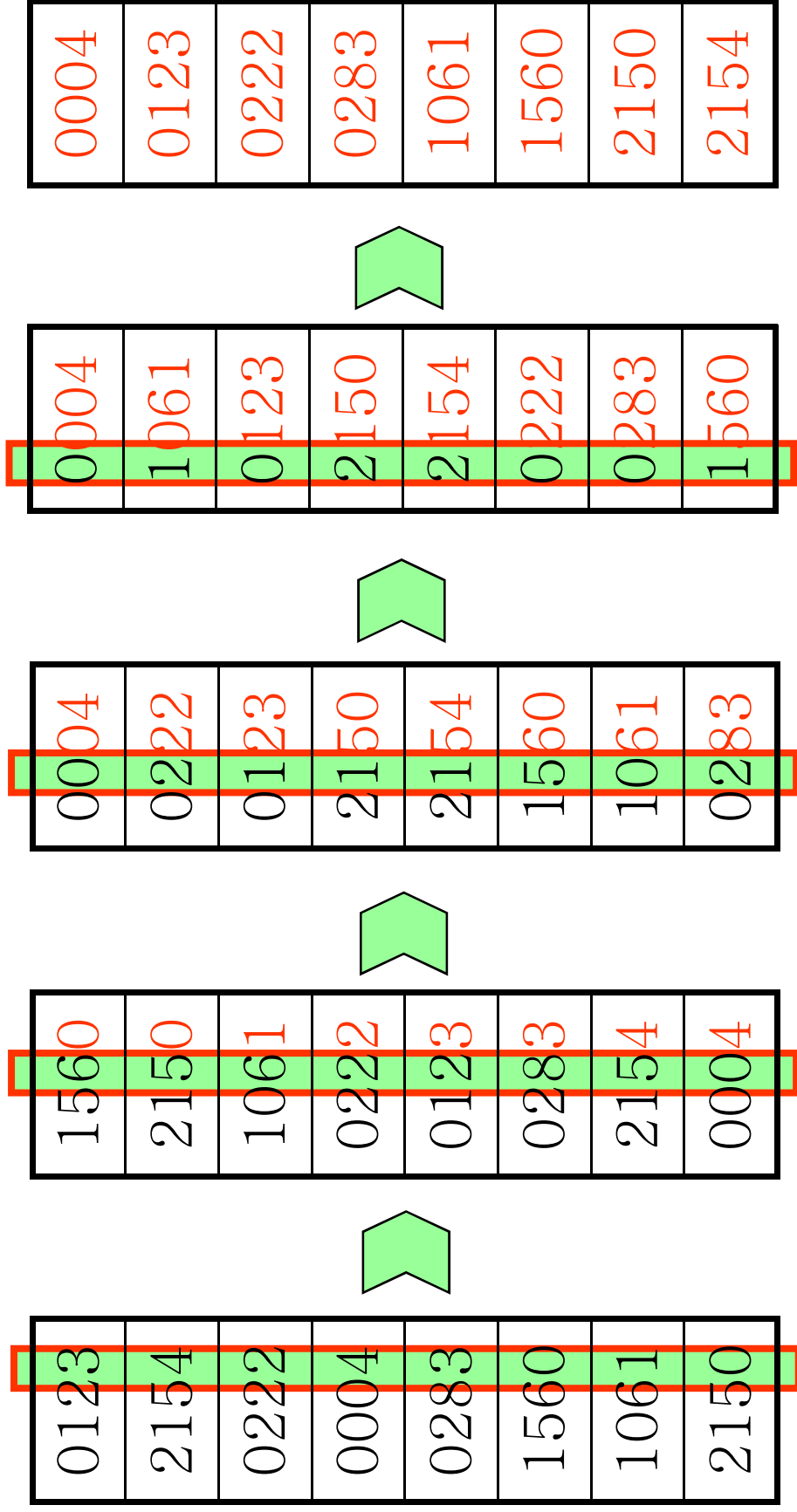
```
countingSort(A[ ], n) ▷ simple version
{
  ▷ A[ ]: Input Array, n: Input Size
  for  $i = 1$  to  $k$ 
     $C[i] \leftarrow 0$ ;
  for  $j = 1$  to  $n$ 
     $C[A[j]]++$ ;
  ▷  $C[i]$  here : total number of elements with  $i$  value
  for  $i = 1$  to  $k$ 
    print  $C[i]$   $i$ 's;    ▷ print  $i$  for  $C[i]$  times
}
```

Radix Sort

```
radixSort(A[ ], d)
{
    for  $j = d$  downto 1 {
        Do a stable sort on A[ ] by  $j^{\text{th}}$  digit;
    }
}
```

✓ Stable sort

- The order of items with a same value does not change after the sorting



✓ Running time: $O(n)$ ← d : a constant

Efficiency

	Worst Case	Average Case
Selection Sort	n^2	n^2
Bubble Sort	n^2	n^2
Insertion Sort	n^2	n^2
Mergesort	$n \log n$	$n \log n$
Quicksort	n^2	$n \log n$
Counting Sort	n	n
Radix Sort	n	n
Heapsort	$n \log n$	$n \log n$